Atty. Dkt. No. 065640-0221



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Majid ENTEZARIAN et al.

Title:

HIGH CAPTURE EFFICIENCY

**BAFFLE** 

Appl. No.:

10/699,573

Filing Date: 10/31/2003

Examiner:

Unassigned

Art Unit:

1724

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Carolyn Simpson

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document required by 37 CFR §1.97 and §1.98 is being submitted.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and in compliance with 37 CFR §1.97(c)(1), within three (3) months of the mailing date of the foreign search report.

### RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the International Application No. PCT/US2004/023377, which corresponds to U.S. Patent Application No. 10/632,805. A copy of the International Search Report is attached setting forth the portion of each document considered relevant by the examiner. An English-language counterpart of the foreign-language document has not been provided. The absence of a translation or an English-language counterpart document does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP§609).

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

# **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) and 37 CFR §1.704(d) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Richard L. Schwaab

Attorney for Applicant

Registration No. 25,479

Date Nov 12, 2004

FOLEY & LARDNER LLP Customer Number: 22428

Telephone:

(202) 672-5414

Facsimile: (202) 672-5399

MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

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Inder the Paperwer Comber. Substitute for form 1449B/PTO Complete if Known 10/699,573 INFORMATION DISCLOSURE **Application Number** STATEMENT BY APPLICANT 10/31/2003 Filing Date Majid ENTEZARIAN First Named Inventor Date Submitted: November 12, 2004 1724 Group Art Unit (use as many sheets as necessary) **Examiner Name** Unassigned of 065640-0221 Sheet 1 Attorney Docket Numbe

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Datastas as Applicant of	Date of Publication of	Pages, Columns, Lines, Where Relevant
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	A1	6,293,983	B1	More	09/25/2001	<u> </u>
	A2	5,874,052		Holland	02/23/1999	
	A3	5,733,350		Muller et al.	03/31/1998	
	A4	4,854,949		Giles, Sr. et al.	08/08/1989	
	A5	4,610,705		Sarnosky et al.	09/09/1986	
	A6	4,319,898		Maierhofer	03/16/1982	
			-			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	F Office	oreign Patent C	Occument Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
	A7	FR	2 385 998	A1	Vacquie	08/15/2003		
	A8	wo	02/070105	A2	Johnson et al.	09/12/2002		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	A9	International Search Report for Application No. PCT/US2004/023377, 6 pages.				

#### 002.1294490.1

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.